

Transmittal Letter to the United States
Designated/Elected Office (DO/EO/US)Page 1 of 3
Prepared from
FORM PTO-1390

Attorney's Docket No. : B40-002
U.S. Application No. : Not Yet Assigned
U.S. Application Filed : Herewith
International Application No. : PCT/US03/02105
International Filing Date : 24 January 2003 (24.01.03)
Priority Dates Claimed : 25 January 2002 (25.01.02)
Title of Invention : SOLENOPSIN A, B AND ANALOGS AS NOVEL
ANGIOGENESIS INHIBITORS
Applicants for (DO/EO/US) : BOWEN, J. Phillip; ARBISER, Jack L.; WHITMIRE,
David and FURNESS, M. Scott

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☒ This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371.
2. ☐ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371.
3. ☐ This express request to begin national examination procedures [35 U.S.C. 371 (f)] at any time rather than delay examination until the expiration of the applicable time limit set forth in 35 U.S.C. 371(b) and PCT Articles 22 and 39(1).
4. ☒ A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date.
5. ☒ A copy of the International Application as filed [35 U.S.C. 371(c)(2)]
 - a) ☐ is transmitted herewith (required only if not transmitted by the International Bureau);
 - b) ☒ has been transmitted by the International Bureau;
 - c) ☐ is not required, as the application was filed in the United States Receiving Office (RO/US).
6. ☐ A translation of the International Application into English [35 U.S.C. 371(c)(2)].
7. ☐ Amendments to the claims of the International Application under PCT Article 19/34 [35 U.S.C. 371(c)(3)]
 - a) ☐ are transmitted herewith (required only if not transmitted by the International Bureau);
 - b) ☐ have been transmitted by the International Bureau, and have been made a part of the specification as filed;
 - c) ☐ have not been made; however, the time limit for making such amendments has **NOT** expired;
 - d) ☐ have not been made and will not be made.
8. ☐ A translation of the amendments to the claims under PCT Article 19 [35 U.S.C. 371(c)(3)].
9. ☐ An oath or declaration of the inventor(s) [35 U.S.C. 371(c)(4)].
10. ☐ A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 [35 U.S.C. 371(c)(5)].

EXPRESS MAIL No.: EV 332303774 US

Deposited: July 21, 2004

I hereby certify that this correspondence is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to: "Commissioner for Patents, M. S. Patent Application, P.O. Box 1450, Alexandria, VA 22313-1450."

Harold Hull

Harold Hull

July 21, 2004

Date

EXPRESS MAIL NO.: EV 332303774 US

Items 11. to 16. below concern other document(s) or information included:

11. ☒ An Information Disclosure Statement under 37 C.F.R. 1.97 and 1.98.
12. ☐ An Assignment document for recording. (A separate Cover Sheet in compliance with 37 CFR 3.28 and 3.31 is included.)
13. ☒ A **FIRST** preliminary amendment.
☐ A **SECOND** or **SUBSEQUENT** preliminary amendment.
14. ☐ A substitute specification.
15. ☐ A change of power of attorney and/or address letter.
16. ☒ (other items or information) Application Data Sheet, TRANSMITTAL UNDER 37 C.F.R. § 1.41; Published Int'l Application No. WO 03/061598, International Search Report; International Preliminary Examination Report; Forms: PCT/IB/301, PCT/IB/304, PCT/IB/308, PCT/IB/332 and PCT/IPEA/402.

17. ☒ The following fees are submitted:

17. <u>X</u> The following fees are submitted: CALCU- LATIONS PTO USE ONLY						
BASIC NATIONAL FEE [37 CFR 1.492(a)(1)-(5)]:						
Search Report has been prepared by the EPO or JPO	\$	920.00				
<u>X</u> International preliminary examination fee paid to USPTO [37 CFR 1.482]	\$	730.00	\$730.00			
No International preliminary examination fee paid to USPTO [37 CFR 1.482] but International search fee paid to USPTO [37 CFR 1.445(a)(2)]	\$	770.00				
Neither International preliminary examination fee [37 CFR 1.482] nor International search fee [37 CFR 1.445(a)(2)] paid to USPTO	\$	1080.00				
International preliminary examination fee paid to USPTO [37 CFR 1.482] and all claims satisfied provisions of PCT Article 33(1)-(4)	\$	100.00				
ENTER APPROPRIATE BASIC FEE AMOUNT			\$730.00			
Surcharge of \$130.00 for furnishing the oath or declaration later than <u>20</u> / <u>X</u> 30 months from the earliest claimed date [37 CFR 1.492(e)]			\$130.00			
Claims	Number filed	Number extra	Rate			
Total Claims	25 -20 =	5 x	\$ 18. =	\$ 90.00		
Indep. Claims	1 - 3 =	0 x	\$ 84. =			
Multiple Dependent Claim(s) (if applicable) + \$ 280. =				280.00		
TOTAL OF ABOVE CALCULATIONS =				\$1230.00		
Reduction by 1/4 for filing by small entity , if applicable. Verified Small Entity Statement must be filed, if required. [Note 37 CFR 1.9, 1.27, 1.28]			-	615.00		
SUBTOTAL =				\$ 615.00		
Processing fee of \$130.00 for furnishing the English Translation later than <u>20</u> / <u>30</u> months from the earliest claimed priority date [37 CFR 1.492(f)]			+	\$		
TOTAL NATIONAL FEE =				\$ 615.00		
Fee for recording the enclosed assignment [37 CFR 1.21(h)]. The assignment must be accompanied by an appropriate cover sheet [37 CFR 3.28, 3.31]. \$40.00 per property			+	\$		
TOTAL FEE (ENCLOSED) =				\$ 615.00		
(AMOUNT TO BE REFUNDED OR CHARGED)				REFUNDED	\$	
				CHARGED	\$	

JT12 Rec'd PCT/PTO 21 JUL 2004

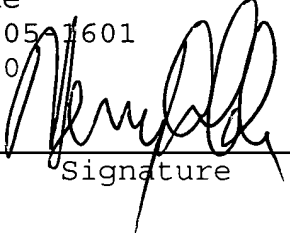
- a) ☒ A check in the amount of \$ 615.00 to cover the above fees is enclosed.
b) ☐ Please charge my Deposit Account No. 04-0838 in the amount of \$
to cover the above fees. A duplicate copy of this sheet is enclosed.
c) ☒ The Commissioner is hereby authorized to charge any additional fees which
may be required, or credit any overpayment to Deposit Account No. 04-0838.
A duplicate copy of this sheet is enclosed.

NOTE: Where an appropriate time limit under 36 CFR 1.494 or 1.495 has not been met, a petition to revive [37 CFR 1.137(a) or (b)] must be filed and granted to restore the application to pending status.

SEND ALL CORRESPONDENCE TO:

Henry D. Coleman
Coleman Sudol Sapone, P.C.
714 Colorado Avenue
Bridgeport, CT 06605-1601
Tel. (203) 366-3560

Henry D. Coleman
Name


Signature

32,559
Reg. No.

July 21, 2004
Date

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANTS : BOWEN, J. Phillip; ARBISER, Jack L.; WHITMIRE,
David and FURNESS, M. Scott

APPLICATION NO. : Not Yet Assigned

DATE FILED : Herewith

FOR : SOLENOPSIN A, B AND ANALOGS AS NOVEL
ANGIOGENESIS INHIBITORS

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

TRANSMITTAL UNDER 37 C.F.R. § 1.41

S I R:

The undersigned attorney for applicants J. Phillip BOWEN, Jack L. ARBISER, David WHITMIRE and M. Scott FURNESS, hereby makes application for patent on behalf of said applicants.

Applicants respectively reside at:

Hull, GA 30646 US

Atlanta, GA 30329 US

Atlanta, GA 30677 US

Bethesda, MD 20817 US

Please address all correspondence regarding this application to Henry D. Coleman at the following address:

COLEMAN SUDOL SAPONE, P.C.
714 Colorado Avenue
Bridgeport, CT 06605-1601

Kindly direct all telephone communications to Henry D. Coleman at (203) 366-3560.

Respectfully submitted,

COLEMAN SUDOL SAPOONE, P.C.

Dated: 7/21/04

By: 

Henry D. Coleman

Reg. No. 32,559

714 Colorado Avenue

Bridgeport, CT 06605-1601

(203) 366-3560

IN THE UNITED STATES RECEIVING OFFICE (RO/US)

APPLICANTS : The University of Georgia Research Foundation, Inc.; Emory University; BOWEN, J. Phillip; ARBISER, Jack L.; WHITMIRE, David and FURNESS, M. Scott

INT'L APPLICATION NO. : PCT/US03/02105

INT'L FILING DATE : 24 January 2003 (24.01.2003)

TITLE : SOLENOPSIN A, B AND ANALOGS AS NOVEL ANGIOGENESIS INHIBITORS

Commissioner for Patents
Mail Stop PCT
P.O. Box 1450
Alexandria, VA 22313-1450

CORRECTION OF ADDRESS AND SUBSTITUTE REQUEST FORM SHEET

S I R:

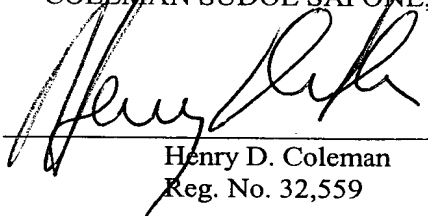
Please correct the address of applicant, EMORY UNIVERSITY, which uses the address 1380 South Oxford Road, Atlanta, GA 30322.

Enclosed herewith please find a substitute first sheet of the PCT Request form. Please replace the incorrect first sheet of the Request with the enclosed first sheet.

Respectfully submitted,
COLEMAN SUDOL SAPONE, P.C.

Dated: June 27, 2003

By:


Henry D. Coleman
Reg. No. 32,559

714 Colorado Avenue
Bridgeport, CT 06605-1601
(203) 366-3560

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class Mail in an envelope addressed to "Commissioner for Patents, Mail Stop PCT, P.O. Box 1450, Alexandria, VA 22313-1450" on August 7, 2003.

Harold Hull

Harold Hull

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT : The University of Georgia Research Foundation, Inc. et al.
APPLICATION NO. : PCT/US03/02105
DATE FILED : 01/24/2003
FOR : SOLENOPSIN A, B AND ANALOGS AS NOVEL ANGIOGENESIS
INHIBITORS
ATT'Y DOCKET NO.: G25-073

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

REQUEST FOR EXTENSION OF TIME

SIR:

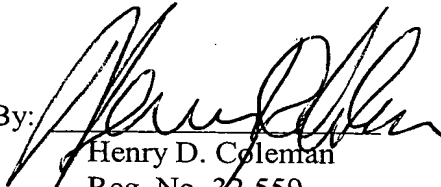
Applicant respectfully requests a one-month extension of time for filing a response to the Invitation to Correct Defects in the International Application mailed June 18, 2003. The extended deadline is August 18, 2003.

The Commissioner is authorized to charge any additional fees due in connection with this communication, and to credit any overpayment to Deposit Account No. 04-0838. A copy of this Request is enclosed for deposit account charging purposes.

Respectfully submitted,

COLEMAN SUDOL SAPONE, P.C.

By:



Henry D. Coleman
Reg. No. 32,559

Dated: August 7, 2003

714 Colorado Avenue
Bridgeport, Connecticut 06605-1601
203-366-3560